On the asymptotic behavior of the magnitude function for odd-dimensional Euclidean balls

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Abstract

Magnitude is a numerical invariant of metric spaces with origins in the notion of the Euler characteristic of a category. To this day the only convex sets in Euclidean space for which we can compute magnitude are the odd-dimensional Euclidean balls. Recent results have shed light on the asymptotic behavior of the magnitude function for these Euclidean balls, and in particular recent work by Meckes showed that the first order small-t asymptotics of the magnitude function recovers its first intrinsic volume. The aim of this thesis is to survey work done to understand the magnitude function for odd-dimensional Euclidean balls and to compute its second order small-t asymptotics.

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1 Introduction

Magnitude is a numerical invariant of metric spaces. Its precursor is the Euler characteristic of a finite category, introduced by Leinster in [Lei06] as an analog to the classical notion of the Euler characteristic of a topological space. In [Lei11], Leinster extended his definition of the Euler characteristic of a finite category to finite enriched categories. An enriched category \mathscr{C} is one where the hom-sets of any two objects in \mathscr{C} are replaced by objects of some monoidal category \mathscr{V} . Lawvere in [Law73] observed that categories enriched in $([0,\infty], 0, \leq)$ can be seen as generalized metric spaces where for any two objects a, b, the hom-set $\operatorname{Hom}(a, b) \in [0, \infty]$ gives the distance between a, b satisfying the triangle identity. This notion of distance is more general than in a classical metric space because distances are allowed to be infinite and may no longer be symmetric. Taking the definition of the Euler characteristic of a finite category enriched in $[0, \infty]$ we arrive at the definition of magnitude for a finite metric space. A precise definition of magnitude for a finite metric space will be given in chapter 2.

Soon after defining magnitude for finite metric spaces, Leinster and others considered the question of defining magnitude for infinite metric spaces (defined in chapter 3). Willerton in [Wil09] computed the magnitudes of some infinite sets via approximation by finite subsets, though at this point it was not clear whether such computations were independent of the approximations chosen. Meckes in [Mec13] showed that defining magnitude for infinite metric spaces via approximations by finite subsets is indeed consistent for a special class of metric spaces: the compact positive definite metric spaces. In addition, Meckes showed that one can arrive at an equivalent definition that extends to compact metric spaces (see [Mec15] and [LM17]).

However, at the time of this writing, there are only a few spaces for which magnitude is known exactly. Among them include:

- 1. Compact intervals in \mathbb{R} ([LM17]),
- 2. *n*-spheres with the intrinsic metric ([Wil14]),
- 3. Compact ℓ_1 -convex sets in ℓ_1^n ([LM17]),
- 4. odd-dimensional Euclidean balls ([BC16] and [Wil17]).

Leinster and Willerton in [LW13] conjectured that magnitude is a valuation on convex bodies. By computing the magnitude functions of odddimensional Euclidean balls in dimensions 3, 5, and 7, Barcelo and Carbery showed in [BC16] that this convex magnitude conjecture is false, however, recent work by Willerton, Gimperlein and Goffeng, and Meckes in [Wil17], [GG17], and [Mec19] respectively showed evidence for various asymptotic versions of the convex magnitude conjecture.

The goal of this thesis is to survey these results and to extend work by Meckes in [Mec19] to investigate the second order small-t asymptotics of the magnitude function for odd-dimensional Euclidean balls. Chapters 2 and 3 introduces the theory of magnitude for finite and infinite metric spaces respectively. Chapter 4 surveys results regarding the magnitude of odd-dimensional Euclidean balls. Chapter 5 and 6 are devoted to computing the second order small-t asymptotics of the magnitude function for odd-dimensional Euclidean balls, reducing the computation to a counting problem that has been partially solved.

2 Finite metric spaces

We begin by introducing the theory of magnitude for finite metric spaces. See [Lei11] for a more comprehensive introduction.

2.1 The magnitude of a finite metric space

We start with the notion of the magnitude of a matrix. Let $M \in M_n(\mathbb{R})$. The vector $w \in \mathbb{R}^n$ is called a **weighting** if $Mw = 1_n$, the vector of all 1's. Similarly, $v \in \mathbb{R}^n$ is called a **coweighting** if $v^*M = 1_n^*$. The following lemma ensures that if a matrix M admits both a weighting and a coweighting, then the sum of all the entries of the (co)weighting is independent of the (co)weighting chosen:

Lemma 2.1. If *M* has a weighting *w* and a coweighting *v*, then $\sum_{j=1}^{n} w_j = \sum_{j=1}^{n} w_j$

$$\sum_{j=1}^{\sum} v_j$$

Proof.

$$\sum_{j=1}^{n} w_j = 1_n^* w = v^* M w = v^* 1_n = \sum_{j=1}^{n} v_j.$$

The lemma allows us to define the magnitude of a matrix. Let $M \in M_n(\mathbb{R})$. If M has a weighting w and a coweighting v, then we say M has

magnitude and its magnitude is given by

$$|M| = \sum_{j=1}^{n} w_j = \sum_{j=1}^{n} v_j.$$

It is not obvious how to find the weighting of a matrix (if it exists at all), but the situation is more straightforward if the matrix is invertible:

Lemma 2.2. If $M \in M_n$ is invertible, then it has a unique weighting and coweighting and $|M| = \sum_{i,j=1}^{n} [M^{-1}]_{ij}$

Proof. If M is invertible, then the equations

$$Mx = 1_n, \quad x^*M = 1_n^*$$

have unique solutions which give the weighting and coweighting of M respectively. In particular, the weighting w is given by

$$w = M^{-1} \mathbf{1}_n = \sum_{j=1}^n a_j^{-1}$$

where a_j^{-1} is the *j*-th column of M^{-1} . Then the magnitude of M is given by

$$\sum_{j=1}^{n} w_j = \sum_{i=1}^{n} \sum_{j=1}^{n} a_j^{-1}(i) = \sum_{i,j=1}^{n} [M^{-1}]_{ij}$$

where $a_j^{-1}(i)$ denotes the *i*-th entry of a_j^{-1} .

Now that we have the matrix preliminaries established we can move on to the definition of the magnitude of a finite metric space. Let A be a finite metric space with n points and distance function d. Then the **similarity matrix** Z_A is the $n \times n$ real matrix given by $[Z_A]_{ij} = e^{-d(i,j)}$ where $i, j \in A$. The **magnitude** of A is the magnitude of its similarity matrix, assuming it has a defined magnitude. We also denote the magnitude of A by |A|.

We now present some basic examples of metric spaces and their magnitudes:

Example 2.3. 1. Let A be the discrete metric space, that is, $d(a, b) = \infty$ for all $a \neq b$ in A. Then the similarity matrix Z_A is the identity matrix and so |A| = #A where #A is the number of points in A.

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2. Let A be the metric space of two points a, b and let d = d(a, b). Then we have the similarity matrix

$$Z_A = \begin{bmatrix} 1 & e^{-d} \\ e^{-d} & 1 \end{bmatrix}$$

which is invertible with inverse matrix given by

$$Z_A^{-1} = \begin{bmatrix} \frac{1}{1 - e^{-2d}} & \frac{-e^{-d}}{1 - e^{-2d}} \\ \frac{-e^{-d}}{1 - e^{-2d}} & \frac{1}{1 - e^{-2d}} \end{bmatrix}$$

and so A has magnitude

$$|A| = \frac{2(1 - e^{-d})}{1 - e^{-2d}} = \frac{2(1 - e^{-d})}{(1 + e^{-d})(1 - e^{-d})} = \frac{2}{1 + e^{-d}} = 1 + \tanh\left(\frac{d}{2}\right).$$

2.2 The magnitude function

Given a finite metric space A, we have a one parameter family of matrices tA where the distances in A are scaled by a real parameter t > 0. Then we call the assignment $t \mapsto |tA|$ the **magnitude function** of A. Note that the metric space tA does not necessarily admit a weighting for all values of t, so the magnitude function is a partially defined function from $(0, \infty) \to \mathbb{R}$.

Note that as we scale up distances between points, the metric space A "approaches" the discrete space and so we want to be able to say that $|tA| \rightarrow \#A$ as $t \rightarrow \infty$. Actually proving this statement is a little more tricky than it first seems because, as noted above, |tA| is not necessarily defined for all t. To do this, we prove the following lemma and proposition. The lemma and proposition, along with their proofs, can be found in [Lei11] as Lemma 2.2.5 and Proposition 2.2.6.

A metric space A is an **expansion** of a metric space B if there exists a distance-decreasing surjection from A to B, that is, there exists an $f : A \to B$ surjective such that for all $a, b \in A$, $d_B(f(a), f(b)) \leq d_A(a, b)$.

Lemma 2.4 (Lemma 2.2.5 of [Lei11]). Suppose A, B are both finite metric spaces with nonnegative weightings (that is, the entries of their respective weightings are nonnegative). Then if A is an expansion of B, then $|A| \ge |B|$.

Proof. Since A is an expansion of B, there exists a distance decreasing surjection $f: A \to B$. Take a right-inverse function of f, say $g: B \to A$ (which we know exists since f is surjective). Then for all $b \in B$, f(g(b)) = b and so

for all $a \in A$ and $b \in B$, we have

$$d_B(f(a),b) = d_B(f(a),f(g(b))) \le d_A(a,g(b))$$

this also means

$$[Z_B]_{f(a),b} \ge [Z_A]_{a,g(b)}$$

(note the reversal of the direction in the inequality). Now let w_A, w_B be respective nonnegative weightings. In what follows, we'll use functional notation $w_A(i)$ to denote the *i*-th entry of w_A an similarly for w_B . Then by nonnegativity of the weighting and the inequality above, we have

$$\begin{aligned} |A| &= \sum_{a \in A} w_A(a) \cdot 1 = \sum_{a \in A, b \in B} w_A(a) [Z_B]_{f(a), b} w_B(b) \\ &\geq \sum_{a \in A, b \in B} w_A(a) [Z_A]_{a, g(b)} w_B(b) = \sum_{b \in B} 1 \cdot w_B(b) = |B| \end{aligned}$$

as required.

Proposition 2.5 (Proposition 2.2.6 of [Lei11]). Let A be a finite metric space. Then

- (i) tA is invertible and hence has magnitude for all but finitely many t > 0.
- (ii) The magnitude function of A is analytic at all t > 0 such that tA is invertible.
- (iii) for $t \gg 0$, there is a unique, positive, weighting on tA.
- (iv) For $t \gg 0$, the magnitude function of A is increasing.
- (v) $|tA| \to \#A \text{ as } t \to \infty$.

Proof. Let #A = n. For an $n \times n$ invertible matrix M, by Lemma 2.2, the unique weighting w on M is given by

$$w_i = \sum_{j=1}^n \left[M^{-1} \right]_{ij}.$$
 (1)

We can rewrite (1) in terms of the adjugate and the determinant of M:

$$w_i = \sum_{j=1}^n \left[M^{-1} \right]_{ij} = \sum_{j=1}^n \frac{[\operatorname{adj}(M)]_{ij}}{\det M}$$
(2)

where $M \operatorname{adj}(M) = (\det M)I_n$. Note that the adjugate is a smooth function of M (see section 0.8 of [HJ13]).

To show (i), note that since $Z_{tA} \to I_n$ as $t \to \infty$ and I_n is invertible, Z_{tA} is invertible for large enough t. Also note that det Z_{tA} is analytic in t. One way to see this is by looking at the Liebniz formula for the determinant found in [HJ13] applied to our definition of the similarity matrix:

$$\det Z_{tA} = \sum_{\sigma} \operatorname{sgn}(\sigma) \prod_{a \in A} [Z_{tA}]_{a,\sigma(a)} = \sum_{\sigma} \operatorname{sgn}(\sigma) \prod_{a \in A} e^{-td(a,\sigma(a))}$$

where σ is a permutation of n elements. From the formula above, clearly the determinant is analytic in t (it is the sum and product of analytic functions). Then since $Z_{tA} \to I_n$ as $t \to \infty$ and det $I_n = 1$, det $Z_{tA} > 0$ for large enough t, and so by analyticity, det Z_{tA} has finitely many zeroes for $t \in (0, \infty)$.

For *(ii)*, since magnitude is the sum of all the entries in the weighting, analyticity of the magnitude function for all t > 0 such that tA is invertible follows from Equation (2) and analyticity of the quotient.

For (*iii*), fix $a \in A$ and note that by Equation (2), the function $Z_{tA} \mapsto w_{tA}(a)$ is continuous on the space of $n \times n$ invertible matrices (where w_{tA} denotes the weighting on Z_{tA}). Now, $w_{I_n}(a) = 1$, so by continuity since Z_{tA} converges to I_n as $t \to \infty$, the weighting $w_{tA}(a)$ is also positive for large enough t. In other words, Z_{tA} has a positive weighting for large enough t.

To show *(iv)*, let $t \le t'$. Note that by part *(iii)*, tA and t'A have positive weightings for large enough t. Note also that t'A is an expansion of tA (taking the function $f : tA \to t'A$ to be the identity as a map of sets as our distance decreasing surjection). So applying Lemma 2.4 above, we see that the magnitude function is increasing for $t \gg 0$.

Finally for
$$(v)$$
, by everything above, we have that $\lim_{t\to\infty} |tA| = \left|\lim_{t\to\infty} Z_{tA}\right| = |I_n| = n = \#A.$

So for any finite metric space A, the value of the magnitude function approaches the cardinality of A as we blow up distances between points. However, along with the fact that the magnitude may not be defined everywhere, other "nice" properties that we might expect, such as monotonicity or non-negativity, are not guaranteed for the magnitude function, as the following example shows:

Example 2.6. Let $K_{3,2}$ be the graph with vertices a_1, a_2, a_3 and b_1, b_2 and one edge between a_i and b_j for each i and j. Let each edge have length t. The distance function on $K_{3,2}$ is the shortest path distance in the graph (see Figure 1).



Figure 1: The graph $K_{3,2}$.

Then for each t, we can compute the similarity matrix and the magnitude:

$$Z_{tK_{3,2}} = \begin{bmatrix} 1 & e^{-2t} & e^{-2t} & e^{-t} & e^{-t} \\ e^{-2t} & 1 & e^{-2t} & e^{-t} & e^{-t} \\ e^{-2t} & e^{-2t} & 1 & e^{-t} & e^{-t} \\ e^{-t} & e^{-t} & e^{-t} & 1 & e^{-2t} \\ e^{-t} & e^{-t} & e^{-t} & e^{-2t} & 1 \end{bmatrix} \qquad |tK_{3,2}| = \frac{5 - 7e^{-t}}{(1 + e^{-t})(1 - 2e^{-2t})}$$

The graph of the magnitude function for $K_{3,2}$ shows how pathological the magnitude function of a metric space can be (see Figure 2). In particular,

- 1. it is undefined for $t = \log \sqrt{2}$,
- 2. there are values for which it is negative,
- 3. it is not monotonic,
- 4. and finally it can exceed the cardinality of $K_{3,2}$.

2.3 Finite positive definite metric spaces

Given the example above, it is natural to ask whether there are classes of finite metric spaces for which the magnitude function is more well-behaved. The metric spaces with positive definite similarity matrix are one such class. We say a finite metric space A is **positive definite** if its similarity matrix Z_A is a positive definite matrix. Since positive definite matrices are invertible, any positive definite metric space has magnitude. Likewise, since



Figure 2: The magnitude function of $K_{3,2}$.

prinicipal submatrices of positive definite matrices are positive definite, subspaces of positive definite metric spaces are positive definite and hence also have magnitude.

The main attractiveness of positive definite metric spaces is that magnitude is guaranteed to be defined, and we have a more convenient explicit formula for the magnitude:

Proposition 2.7 (Proposition 2.4.3 of [Lei11]). If A is a positive definite metric space, then A has magnitude and

$$|A| = \sup_{v \neq 0} \frac{\left(\sum_{a \in A} v_a\right)^2}{v^* Z_A v}$$

where $v \in \mathbb{R}^{\#A}$. The supremum if and only if v is a nonzero scalar multiple of the unique weighting on A.

Proof. Since Z_A , we have the Cauchy-Schwarz inequality:

$$(v^*Z_Aw)^2 \le (v^*Z_Av)(w^*Z_Aw)$$

with equality if and only if v, w are scalar multiples of each other. We take

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w to be the unique weighting on Z_A . Then we have

$$|A| = \sum_{a \in A} w_a = w^* Z_A w \ge \frac{(v^* Z_A w)^2}{v^* Z_A v} = \frac{(\sum_{a \in A} v_a)^2}{v^* Z_A v}$$

for all v. So |A| is greater than or equal to the supremum. But taking w to be the unique weighting gives

$$\frac{\left(\sum_{a \in A} w_a\right)^2}{w^* Z_A w} = \frac{\left(w^* Z_A w\right)^2}{w^* Z_A w} = w^* Z_A w = \sum_{a \in A} w_a = |A|$$

so we have equality.

Using this formulation of the magnitude, we can show some that magnitude is increasing with respect to inclusion for positive definite metric spaces.

Proposition 2.8 (Lemma 2.4.10 of [Lei11]). If A is a positive definite metric space and $B \subseteq A$, then $|B| \leq |A|$.

Proof. As mentioned above, since A is positive definite and B is a subspace of A, B is also positive definite and so has magnitude. Then we have

$$|B| = \sup_{v \neq 0} \frac{\left(\sum_{b \in B} v_b\right)^2}{v^* Z_B v} \le \sup_{v \neq 0} \frac{\left(\sum_{a \in A} v_a\right)^2}{v^* Z_A v} = |A|$$

since the space of vectors we are considering for B is a subset of the space of vectors we consider for A (after embedding $\mathbb{R}^{\#B}$ into $\mathbb{R}^{\#A}$).

Finite subsets of *n*-dimensional Euclidean space are positive definite and hence have magnitude. Indeed, finite subsets of several well-known spaces are known to be positive definite and so have magnitude. A more complete list of such spaces is given in the next section below where we will be able to say that compact subspaces have magnitude.

3 Infinite metric spaces

Now we move on to the theory of magnitude for more general classes of metric spaces. A strategy that naturally arises for generalizing magnitude for finite spaces to infinite spaces would be to approximate infinite metric spaces by finite subspaces. However, there is a question of whether magnitude for

infinite metric spaces defined in this way is independent of the approximating sequence chosen. Meckes in [Mec13] defined magnitude for the class of compact positive definite metric spaces and showed that this definition for magnitude is indeed consistent. We briefly survey these results (without proofs) in the section below. A more comprehensive survey can be found in [LM17].

3.1 Compact positive definite metric spaces

Let A be a metric space. We say A is a **positive definite metric space** if every finite subspace of A is positive definite. The property that tA is a positive definite metric space for all t > 0, is equivalent to the property that A is of **negative type**. Several well-known metric spaces are of negative type, and we will later see that they have well-defined magnitudes.

We first need to have a topology on the class of compact metric spaces in order to do any kind of approximation. Let X be a metric space. Then the **Hausdorff metric** d_H on the class of compact subsets A, B is given by

$$d_H(A,B) = \max\left\{\sup_{a\in A} d(a,B), \sup_{b\in B} d(b,A)\right\}$$

where d is the distance function on X. By considering embeddings of compact metric spaces into ambient spaces, we have a notion of distances between two metric spaces: the **Gromov-Hausdorff distance** between two compact metric spaces A, B is given by

$$d_{GH}(A,B) = \inf d_H(\varphi(A),\psi(B))$$

where the infimum is over all metric spaces X and isometric embeddings $\varphi: A \to X$ and $\psi: B \to X$.

The following proposition allows us to define the magnitude of a compact positive definite metric space via approximations by finite positive definite spaces:

Proposition 3.1 (Proposition 3.1 of [LM17]). The quantity

$$M(A) = \sup\{|A'| : A' \subseteq A, A' \text{ finite}\}$$

is a lower semicontinuous function of A (taking values in $[0, \infty]$) in the class of compact positive definite metric spaces equipped with the Gromov-Hausdorff topology.

Let A be a compact positive definite metric space. We can therefore define the **magnitude of a compact positive definite metric space** |A|to be the value of M(A). Semicontinuity and Proposition 2.8 ensures that if A is finite, then M(A) = |A|, so this new definition agrees with magnitude for the finite case. Furthermore, the magnitude of A is independent of the choice of approximating sequence of finite subsets:

Proposition 3.2 (Proposition 3.3 of [LM17]). Let A be a compact positive definite metric space, and let $\{A_k\}$ be any sequence of compact subsets of A such that $A_k \to A$ in the Hausdorff topology. Then $|A| = \lim_{k \to \infty} |A_k|$.

Recall that A is of negative type if tA is positive definite for all t > 0. Then by above all compact subsets of A have a well-defined (possibly infinite) magnitude. In particular, the following spaces are known to be of negative type and hence their compact, as well as finite, subsets have magnitude (Theorem 2.11 of [LM17] and Theorem 3.6 of [Mec13]):

- 1. ℓ_p^n for $n \ge 1$ and $1 \le p \le 2$,
- 2. $L_p[0,1]$ for $1 \le p \le 2$,
- 3. *n*-spheres with the geodesic distance,
- 4. weighted trees.

Willerton in [Wil14] gives an explicit formula for the magnitude of n-spheres with the intrinsic metric.

4 Odd-dimensional Euclidean balls

We now briefly survey, without proofs, results regarding the magnitude and magnitude function of sets in Euclidean space, ultimately specializing to odd-dimensional Euclidean balls. These results hinge on the formulation of magnitude in terms of potential theory and capacities of sets Meckes introduced in [Mec15] in defining magnitude for general compact metric spaces. We begin with a statement of the erstwhile convex magnitude conjecture, as it motivates many of the results in this section.

Let d = 2m + 1 where m is a natural number. Denote by B_2^d the ddimensional closed Euclidean ball and tB_2^d the d-dimensional ball with radius t for t > 0.

4.1 The convex magnitude conjecture

Let \mathcal{K}^n be the space of compact convex sets in \mathbb{R}^n . We call a nonempty set in \mathcal{K}^n a **convex body**. A **valuation** is a function $P : \mathcal{K}^n \to \mathbb{R}$ that satisfies the inclusion-exclusion principle, that is:

- $P(\emptyset) = 0$,
- $P(A \cup B) = P(A) + P(B) P(A \cap B)$

whenever $A, B, A \cup B \in \mathcal{K}^n$ $(A \cap B$ is automatically in \mathcal{K}^n whenever A and B are). Hadwiger's Theorem states that if a valuation P is invariant under rigid motions and is continuous with respect to the Hausdorff metric, then there are canonical valuations V_0, V_1, \ldots, V_n that are homogenous of degree i such that P can be written as a linear combination of these valuations. The valuations V_i are called the *i*-th intrinsic volumes with V_n being the usual *n*-dimensional volume, V_{n-1} being half the surface area and V_0 being the Euler characteristic. See [Sch14] for more on Hadwiger's Theorem and convex bodies in general.

Computer calculations of the magnitudes of various sets in Euclidean space found in [Wil09] led to the following conjecture stated in [LW13]:

Conjecture (Leinster-Willerton). Let $K \in \mathcal{K}^n$. Then magnitude is a valuation and moreover

$$|K| = \sum_{i \ge 0} \frac{V_i(K)}{i!\omega_i}$$

where V_i is the *i*-th intrinsic volume and ω_i is the volume of the unit ball in \mathbb{R}^i .

By homogeneity of the intrinsic volumes, the conjecture is equivalent to

$$\operatorname{Mag}(tK) = \sum_{i\geq 0} \frac{V_i(K)}{i!\omega_i} t^i.$$

That is, the magnitude function of a convex body is a polynomial in t with coefficients proportional to the intrinsic volumes of K.

4.2 Asymptotic results

Barcelo and Carbery in [BC16] explicitly calculated the magnitude functions of Euclidean balls in dimensions 3,5, and 7:

$$Mag(tB_2^3) = \frac{t^3}{3!} + t^2 + 2t + 1$$

$$Mag(tB_2^5) = \frac{t^6 + 18t^5 + 135t^4 + 525t^3 + 1080t^2 + 1080t + 360}{5!(t+3)}$$

$$Mag(tB_2^7) = \frac{t^7}{7!}$$

$$+ \frac{\frac{1}{180}t^9 + \frac{2}{15}t^8 + \frac{3}{2}t^7 + \frac{31}{3}t^6 + \frac{189}{4}t^5 + 145t^4 + \frac{1165}{4}t^3 + 360t^2 + 240t + 60}{t^3 + 12t^2 + 48t + 60}$$
(3)

In particular, the magnitude function of B_2^5 provides an example of where the convex magnitude conjecture is false, since it is a rational function of t. However, recent results have shown that some intrinsic volumes still appear in the asymptotic expansions of the magnitude function as we take $t \to \infty$ and $t \to 0$ separately, though with not necessarily the same scalar multiples as predicted by the convex magnitude conjecture. In [BC16], Barcelo and Carbery established the top-order asymptotics of the magnitude function for both $t \to \infty$ and $t \to 0$ in the following theorem:

Theorem 4.1 (Theorem 1 of [BC16]). Let K be a nonempty compact set in \mathbb{R}^n . Then

$$Mag(tK) \rightarrow 1 \text{ as } t \rightarrow 0$$

and

$$t^{-n}$$
Mag $(tK) \rightarrow \frac{Vol(K)}{n!\omega_n}$ as $t \rightarrow \infty$

In particular, the theorem says the first and last coefficients of the magnitude function were correctly predicted by the convex magnitude conjecture as we take $t \to \infty$ and $t \to 0$ respectively.

However, the other terms in the asymptotic expansion of the magnitude function for $t \to \infty$ do not agree with the convex magnitude conjecture. Building on work by Willerton in [Wil17], Gimperlein and Goffeng in [GG17] showed the following theorem.

Theorem 4.2 (Theorem 2(c)-(d) of [GG17]). Let $d \ge 3$ be odd and let K be a *d*-dimensional convex body with nonempty interior and smooth boundary.

$$\begin{aligned} \operatorname{Mag}(tK) &= \frac{1}{d!\omega_d} \left(V_d(K) t^d + (d+1) V_{d-1}(K) t^{d-1} + \frac{\pi}{4} (d+1)^2 V_{d-2}(K) t^{d-2} \right) \\ &+ O(t^{d-3}) \end{aligned}$$

as $t \to \infty$.

That is, we can recover the next two intrinsic volumes, but with corrected coefficients, from the magnitude function of convex smooth Euclidean domains in odd-dimension. It turns out, however, that the next term in the asymptotic expansion above is not a multiple of an intrinsic volume [Mec19].

As for higher order terms in the small-t asymptotics, Meckes in [Mec19] showed the following result for odd-dimensional Euclidean balls:

Theorem 4.3 (Theorem 4 of [Mec19]). Let B_2^d be the *d*-dimensional unit Euclidean ball where d = 2m + 1 is odd and

$$V_1(B_2^d) = \frac{(2m+1)\sqrt{\pi}\Gamma(m+1)}{\Gamma(m+\frac{3}{2})} = 2\binom{m-\frac{1}{2}}{m}^{-1}$$

is its first intrinsic volume. Then

$$\frac{d}{dt} \operatorname{Mag}(tB_2^d)\big|_{t=0} = \frac{1}{2} V_1(B_2^d).$$

This coefficient does agree with the coefficient predicted by the convex magnitude conjecture. So the conjecture correctly predicts the first-order behavior of the magnitude function as $t \to 0$. The question of whether the conjecture correctly predicts second-order behavior for small t is the subject of this thesis.

Theorems 4.2, 4.3, and the rest of this thesis depend on work done by Willerton in [Wil17] to give an expression for the magnitude function of odd-dimensional Euclidean balls in terms of collections of Schröder paths. Schröder paths and the result by Willerton are introduced in the section below.

4.3 Schröder paths

Definition 4.4. • A Schröder path is a finite directed path in the integer lattice in which each step $(x, y) \in \mathbb{Z}^2$ is either an ascent to

Then

(x+1, y+1), a descent (x+1, y-1) or a flat step (x+2, y) (note the advance by *two* spaces in the horizontal direction).

- Fix $k \ge 0$. A disjoint k-collection is a family of Schröder paths from (-i, i) to (i, i) for each $0 \le i \le k$ such that no node in \mathbb{Z}^2 is contained in two of the paths (the paths are disjoint).
- We denote by X_k the set of all disjoint k-collections and by X_k^j the set of disjoint k-collections with exactly j flat steps.

When thinking about what kinds of disjoint k-collections we can have in X_k^j for some fixed j, it is often useful to think about what a path needs to look like for increasing values of i. For example, consider the set X_k^0 , that is, the set of disjoint k-collections with exactly 0 flat steps. At i = 0 we have the single dot at (0,0) and at i = 1, since we are allowed no flat steps, the only possible path we can have is the path made up of one ascent followed immediately by one descent. Then for i = 2, the disjointness condition and the presence of the earlier path at height i = 1 ensures that the only possible path we can have is the path made up of two ascents followed by two descents. We continue this argument for successive values of i. We will call the path at height i consisting of i ascents followed by i descents a **V-path at height** i (because they look like upside down V's). So it turns out that X_k^0 consists only of one collection, denoted σ_{roof}^k , which is made up entirely of V-paths for each $0 \le i \le k$.

Let σ be a disjoint k-collection in X_k . For each path in σ we associate a weighting to each step τ in the path by the following:

$$\omega_j(\tau) = \begin{cases} 1 & \text{if } \tau \text{ is an ascent,} \\ t & \text{if } \tau \text{ is a flat step,} \\ y+1-j & \text{if } \tau \text{ is a descent from height } y \text{ to height } y-1. \end{cases}$$

For a collection $\sigma \in X_k$ the (total) weight of σ , denoted by $\omega_j(\sigma)$ is the product of all the weightings of each step of a path in σ , that is,

$$\omega_j(\sigma) = \prod_{\tau \in \sigma} \omega_j(\tau)$$

Note that if $\sigma \in X_k^{\ell}$, that is σ has exactly ℓ flat steps, then $\omega_j(\sigma)$ will take the form ct^{ℓ} where c is the product of all the weights on descents in σ . We will only use the weightings ω_0 and ω_2 for our purposes, that is, a descent starting at height y will be weighted by either y + 1 and y - 1 respectively.



Figure 3: The disjoint 3-collection σ_{roof}^3 with ω_2 weightings.

Consider a V-path σ at height *i*, then we have

$$\omega_0(\sigma) = \frac{(2i+1)!}{(i+1)!}$$
$$\omega_2(\sigma) = \frac{(2i-1)!}{(i-1)!}$$

and since $\sigma^k_{\rm roof}$ consists only of V-paths, we have

$$\omega_0\left(\sigma_{\text{roof}}^k\right) = \prod_{i=0}^k \frac{(2i+1)!}{(i+1)!}$$
$$\omega_2\left(\sigma_{\text{roof}}^k\right) = \prod_{i=1}^k \frac{(2i-1)!}{(i-1)!}$$

We are interested in these weightings on collections of Schröder paths because Willerton in [Wil17] showed the following:

Theorem 4.5 (Corollary 27 of [Wil17]). Let d = 2m + 1 be odd. Then

$$\operatorname{Mag}\left(tB_{2}^{d}\right) = \frac{\sum\limits_{\sigma \in X_{m+1}} \omega_{2}(\sigma)}{d! \sum\limits_{\sigma \in X_{m-1}} \omega_{0}(\sigma)} = \frac{\sum\limits_{\sigma \in X_{m+1}} \prod\limits_{\tau \in \sigma} \omega_{2}(\tau)}{d! \sum\limits_{\sigma \in X_{m-1}} \prod\limits_{\tau \in \sigma} \omega_{0}(\tau)}$$

for all t > 0.

As mentioned before, $\omega_j(\sigma)$ are of the form ct^{ℓ} where ℓ is the number of flat steps in σ and c is a constant, so the numerator and the denominator in

the expression above are both polynomials in t. We will denote the function in the numerator by N(t) and the function in the denominator (without the extra d!) by D(t). Put more succinctly, we have

$$\operatorname{Mag}\left(tB_2^d\right) = \frac{N(t)}{d!D(t)}.$$

5 The problem

Taking second derivatives and evaluating at t = 0 for each magnitude function in (3), we have

$$\frac{d^2}{dt^2} \operatorname{Mag}(tB_2^3)\Big|_{t=0} = 2$$

$$\frac{d^2}{dt^2} \operatorname{Mag}(tB_2^5)\Big|_{t=0} = \frac{38}{9} = 4.222\dots$$

$$\frac{d^2}{dt^2} \operatorname{Mag}(tB_2^7)\Big|_{t=0} = \frac{162}{25} = 6.48$$
(4)

The rest of this thesis is devoted to computing the value of

$$\frac{d^2}{dt^2} \operatorname{Mag}(tB_2^d)\big|_{t=0}.$$
(5)

for odd d.

The convex magnitude conjecture predicts that (5) is given by

$$\frac{1}{2\omega_2}V_2\left(B_2^d\right) = \frac{1}{2\pi}V_2\left(B_2^d\right).$$

By Theorem 9.2.4 of [KR97], we have that

$$V_2\left(B_2^d\right) = \binom{d}{2} \frac{\omega_d}{\omega_{d-2}} = \binom{d}{2} \pi \frac{\Gamma\left(\frac{d}{2}\right)}{\Gamma\left(\frac{d}{2}+1\right)} = \binom{d}{2} \frac{2\pi}{d}.$$

So the convex magnitude conjecture predicts that

$$\frac{d^2}{dt^2} \operatorname{Mag}(tB_2^d)\Big|_{t=0} = \frac{1}{2\pi} V_2\left(B_2^d\right) = \frac{1}{d} \binom{d}{2} = \frac{1}{2} (d-1).$$
(6)

. ...

To compute the value of (5), we will follow the same general approach that Meckes used to prove Theorem 4.3 in [Mec19]. An outline is presented below:

- 1. Apply the quotient rule to Theorem 4.5 and the result of Theorem 4.3 to express the second derivative in terms of N(t), D(t).
- 2. The expression will contain first and second derivatives of N(t) and D(t) evaluated at t = 0. First consider disjoint k-collections containing exactly one flat step to simplify terms containing first derivatives.
- 3. Consider disjoint k-collections containing exactly two flat steps to simplify terms containing second derivatives. Since this step is more involved, we put it in its own section below.
- 4. Combine the previous two steps to arrive at an (partial) answer.

5.1 Evaluating the second derivative

From now on, when writing down the value of a function evaluated at zero, for convenience we will omit the "(0)" part, that is, we write N for N(0) and N' for N'(0) and similarly for D(0) and D'(0). For higher derivatives we divide by the order of the derivative, that is, we denote $\frac{1}{2}N''(0)$ by N'' and $\frac{1}{2}D''(0)$ by D''. The point of this is that N'' and D'' are the values of the coefficients of the second order terms in N(t) and D(t) respectively. In Theorem 28 of [Wil17], Willerton showed the following identity

$$N = d!D$$

and Meckes in the proof of Theorem 4 of [Mec19] showed that

$$N'D - ND' = \frac{1}{2}V_1(B_2^d) d!D^2.$$

In the rest of the paper below, we will use V_1 as shorthand for $V_1(B_2^d)$. Now we evaluate

$$\frac{d^2}{dt^2} \operatorname{Mag}(tB_2^d)\big|_{t=0}$$

5 THE PROBLEM

Applying the quotient rule and using the two identities above, we have

$$\begin{split} \frac{d^2}{dt^2} \mathrm{Mag}(tB_2^d)|_{t=0} &= \frac{d}{dt} \left(\frac{d}{dt} \mathrm{Mag}(tB_2^d) \right)|_{t=0} \\ &= \frac{d}{dt} \left(\frac{d}{dt} \frac{N(t)}{d!D(t)} \right)|_{t=0} \\ &= \frac{d}{dt} \left(\frac{d!(t)N'(t) - N(t)d!D'(t)}{d!^2D(t)^2} \right)|_{t=0} \\ &= \frac{d}{dt} \left(\frac{D(t)N'(t) - N(t)D'(t)}{d!D(t)^2} \right)|_{t=0} \\ &= \frac{d!D(t)^2[D(t)N''(t) - N(t)D''(t)] - [D(t)N'(t) - N(t)D'(t)]d!2D(t)D'(t)}{d!D(t)^3}|_{t=0} \\ &= \frac{D(t)[D(t)N''(t) - N(t)D''(t)] - [D(t)N'(t) - N(t)D'(t)]2D'(t)}{d!D(t)^3}|_{t=0} \\ &= \frac{D(t)^2N''(t) - D(t)N(t)D''(t) - 2D'(t)D(t)N'(t) + 2D'(t)^2N(t)}{d!D(t)^3}|_{t=0} \\ &= \frac{2D^2N'' - 2DD'N' - 2DND'' + 2ND'^2}{d!D^3} \\ &= \frac{2D^2N'' - 2DND'' - 2D'(DN' - D'N)}{d!D^3} \\ &= \frac{2D^2N'' - 2DND'' - 2D'(t)D(t) - D'N}{d!D^3} \\ &= 2\left[\frac{DN'' - 2DND'' - 2D'(t)D' - D'N}{d!D^3} \right] \\ &= 2\left[\frac{DN'' - d!DD''}{d!D^2} - V_1 \left[\frac{D'}{D} \right] \\ &= 2\left[\frac{N'' - d!D''}{d!D^2} - V_1 \left[\frac{D'}{D} \right] \\ &= 2\left[\frac{N'' - d!D''}{d!D^2} - V_1 \left[\frac{D'}{D} \right] \\ &= 2\left[\frac{N'' - d!D''}{N} - V_1 \left[\frac{D'}{D} \right] \\ &= 2\left[\frac{N'' - d!D''}{N} - V_1 \left[\frac{D'}{D} \right] \\ &= 2\left[\frac{N'' - d!D''}{N} - V_1 \left[\frac{D'}{D} \right] \end{aligned}$$

Where the factors of 2 in front of the terms containing a second derivative are because of the factor of $\frac{1}{2}$ that we introduced earlier in our notation for N'' and D''. To continue simplifying this expression, we have to give explicit

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expressions for the terms involved:

$$N = \sum_{\sigma \in X_{m+1}^0} \prod_{\tau \in \sigma} \omega_2(\tau) = \prod_{\tau \in \sigma_{\text{roof}}^{m+1}} \omega_2(\tau), \tag{7}$$

$$D = \sum_{\sigma \in X_{m-1}^0} \prod_{\tau \in \sigma} \omega_0(\tau) = \prod_{\tau \in \sigma_{roof}^{m-1}} \omega_0(\tau), \tag{8}$$

$$N' = t^{-1} \sum_{\sigma \in X_{m+1}^1} \prod_{\tau \in \sigma} \omega_2(\tau), \tag{9}$$

$$D' = t^{-1} \sum_{\sigma \in X_{m-1}^1} \prod_{\tau \in \sigma} \omega_0(\tau), \tag{10}$$

$$N'' = t^{-2} \sum_{\sigma \in X^2_{m+1}} \prod_{\tau \in \sigma} \omega_2(\tau), \tag{11}$$

$$D'' = t^{-2} \sum_{\sigma \in X^2_{m-1}} \prod_{\tau \in \sigma} \omega_0(\tau).$$
(12)

This boils down to a counting problem to do with disjoint collections of Schröder paths with exactly k flat steps for k = 0, 1, 2.

5.2 Simplifying the $\frac{D'}{D}$ Term

By (8) and (10) we have,

$$D = \prod_{\tau \in \sigma_{roof}^{m-1}} \omega_0(\tau) = \prod_{k=0}^{m-1} \frac{(2k+1)!}{(k+1)!}$$

and

$$D' = t^{-1} \sum_{\sigma \in X_{m-1}^1} \prod_{\tau \in \sigma} \omega_0(\tau),$$

so we want to count how many disjoint (m-1)-collections σ have exactly one flat step in them.

Suppose we have a disjoint k-collection containing exactly one flat step. Let σ be the path in this collection containing the single flat step at height, say, p. By the same reasoning as when discussing X_k^0 earlier, the paths at height less than p must be V-paths. But then the disjointness condition ensures that σ at height p must have the flat step be centered, so σ is a path consisting of p-1 ascents, one flat step and then p-1 descents. We will call such a path a **flat step path at height** p. Note that the weightings for this kind of path are given by

$$\omega_0(\sigma) = \frac{(2p)!}{(p+1)!}, \omega_2(\sigma) = \frac{(2p-1)!}{(p-1)!}.$$

For the path directly above σ , we can either have a V-path as before or, because of the extra space provided by the flat step just below we can have a path consisting of p ascents, one descent, one ascent and then p descents. Then for the next path above, the disjointness condition ensures that this path can only be either a path of a similar form or a V-path. We will call the path at height k consisting of k-1 ascents, one descent, one ascent and then k descents a **M-path at height** k. So in σ , after the flat step path at height p we will have some number of M-paths followed by some number of V-paths. Note that after we have switched to V-paths we cannot have any other paths above because of the disjointness condition. This allows us to characterize all the disjoint (m-1)-collections in X_{m-1}^1 : fix $1 \le p \le m-1$ and $0 \le q \le m-1-p$, then the disjoint (m-1)-collection $\sigma_{p,q}^{m-1}$ from the bottom up, is composed of p-1 V-paths, followed by a flat step path at height p, followed by q M-paths, followed by V-paths up to height m-1. Then Meckes in [Mec19] observed that

$$X_{m-1}^{1} = \bigcup_{\substack{1 \le p \le m-1 \\ 0 \le q \le m-1-p}} \sigma_{p,q}^{m-1}.$$

Let σ be a M-path at height k, then we have

$$\omega_0(\sigma) = \frac{(2k)!(2k)}{(k+1)!},$$

$$\omega_2(\sigma) = \frac{(2k-1)!(2k-1)}{(k-1)!}$$

where the extra factor on the numerator comes from the additional descent we have in σ . The ω_2 weighting will become relevant later on when we evaluate N.

Since we can recognize X_{m-1}^1 as a union of disjoint (m-1)-collections with this specific form, we can write down an explicit expression for D':

$$D' = \sum_{\substack{1 \le p \le m-1 \\ 0 \le q \le m-1-p}} \left(\prod_{k=0}^{p-1} \frac{(2k+1)!}{(k+1)!} \right) \left(\frac{(2p)!}{(p+1)!} \right) \left(\prod_{k=p+1}^{p+q} \frac{(2k)!(2k)}{(k+1)!} \right) \left(\prod_{k=p+q+1}^{m-1} \frac{(2k+1)!}{(k+1)!} \right)$$



Figure 4: A disjoint 3-collection containing a flat step at height 1 and an M-path at height 2 with ω_2 weightings.

We can simplify the quotient D'/D: For each summand depending on p,q in the quotient D'/D, we have

$$\frac{\prod_{k=0}^{p-1} \frac{1}{(k+1)!} \prod_{p!}^{p+q} \prod_{k=p+1}^{m-1} \frac{1}{(k+1)!} \prod_{k=p+q+1}^{m-1} \frac{1}{(k+1)!} \prod_{k=0}^{p-1} (2k+1)! (2p)! \prod_{k=p+1}^{p+q} (2k)! (2k) \prod_{k=p+q+1}^{m-1} (2k+1)!}{\prod_{k=0}^{m-1} \frac{1}{(k+1)!} \prod_{k=0}^{m-1} (2k+1)!}$$

We can cancel the product of all the $\frac{1}{(k+1)!}$'s since on the top we also have a product of $\frac{1}{(k+1)!}$'s from 0 up to m-1. This gives us

$$\frac{\prod_{k=0}^{p-1} (2k+1)! (2p)! \prod_{k=p+1}^{p+q} (2k)! (2k) \prod_{k=p+q+1}^{m-1} (2k+1)!}{\prod_{k=0}^{m-1} (2k+1)!}.$$

We can further cancel all the (2k+1)!'s from k = 0 to p-1 and from p+q+1 to m-1:

$$\frac{(2p)!\prod_{k=p+1}^{p+q}(2k)!(2k)}{\prod_{k=p}^{p+q}(2k+1)!} = \frac{(2p)!\prod_{k=p+1}^{p+q}(2k)!(2k)}{(2p+1)!\prod_{k=p+1}^{p+q}(2k+1)!}$$
$$= \frac{1}{2p+1} \left(\prod_{k=p+1}^{p+q}\frac{2k}{2k+1}\right).$$

So summing over all such p and q we have

$$\frac{D'}{D} = \sum_{\substack{1 \le p \le m-1\\0 \le q \le m-1-p}} \frac{1}{2p+1} \prod_{k=p+1}^{p+q} \left(\frac{2k}{2k+1}\right).$$
(13)

6 Disjoint k-collections with two flat steps

Recall for N'' and D'' (equations (11) and (12) above) we are considering paths in disjoint k-collections from either X_{m+1}^2 or X_{m-1}^2 . So our next step is to describe all disjoint k-collections containing exactly two flat steps. We can already rule out the possibility where a disjoint k-collection has two flat steps on the same path. This is because any path below the one with the flat steps needs to be a V-path and there's then not enough room on the path with the flat step for a flat step to appear anywhere other than the center. This then also tells us that for any disjoint k-collection with two flat steps, the two flat steps will be on separate paths and moreover the first flat step will be centered.

As before, after the first flat step we can have some number of M-paths followed by some number of V-paths. If we have a nonzero amount of Vpaths, then that means the second path with a flat step in it will have its flat step centered. On the other hand, if we only have M-paths above the first flat step path, then we have enough room for the second path containing a flat step to have its flat step offset by one either to the left or right, that is, either a path starting at height k with (k-2) ascents, followed by a flat step, one more ascent and then (k-1) descents, or a path starting at height k with (k-1) ascents, one descent, a flat step and then (k-2) descents. Notice that by symmetry, a path with flat step offset to the left has same total weight as a path with flat step offset to the right, and moreover that both have a total weight equal to the total weight of a regular flat step path at the same height. For this reason, in the future when discussing the total weight, we will use the term "flat step path" to refer to both types of paths. Suppose we have a left-offset flat step path at height p, then on the path at height p+1 we have enough space to have a left **asymmetric M-path** consisting of (p+1-2) ascents, followed by one descent, 2 ascents, and then (p+1-1) descents. More generally, a (left) asymmetric M-path at height k consists of (k-2) ascents, one descent, two ascents and then (k-1) descents. Above this first left asymmetric M-path we can have more asymmetric M-paths or regular M-paths or V-paths.

Suppose we have an left asymmetric M-path σ at height k, then the

product of the weights on this path is given by

$$\omega_2(\sigma) = \frac{(2k-2)!}{(k-1)!}(2k-3).$$

Notice that by symmetry, a right asymmetric M-path (corresponding to the case where the second flat step is off-set to the right) will have the same product of weights. This means that a disjoint k-collection of where the second flat step is offset to the left followed by left asymmetric M-paths will have the same total weighting as the disjoint k-collection yielded by reflecting across the y-axis. For this reason, we will only need to consider the left offset case.

To summarize, we have two cases of disjoint k-collections containing exactly two flat steps:

- (a) Two centred flat steps: We have two centered flat step paths at height p_1 and p_2 respectively. Above the first flat step path we have q_1 M-paths and above the second flat step path we have q_2 M-paths. V-paths fill in all the rest. We will denote these kinds of disjoint k-collections by $\sigma_{p_1,p_2,q_1,q_2}^k$.
- (b) Second flat step is offset: We have one centered flat step path at height p_1 and an offset flat step path at height p_2 . In between p_1 and p_2 we have only M-paths. Above the second flat step path we have q_1 asymmetric M-paths followed by q_2 M-paths. V-paths fill in the top and the bottom. We will denote disjoint k-collections of this form by $L^k_{p_1,p_2,q_1,q_2}$ for a left offset and $R^k_{p_1,p_2,q_1,q_2}$ for a right offset (though as remarked above, we will only need to consider the left offset case).

So we have that

$$X_k^2 = \bigcup_{p_1, p_2, q_1, q_2} \sigma_{p_1, p_2, q_1, q_2}^k \cup \bigcup_{p_1, p_2, q_1, q_2} L_{p_1, p_2, q_1, q_2}^k \cup \bigcup_{p_1, p_2, q_1, q_2} R_{p_1, p_2, q_1, q_2}^k.$$

6.1 μ Simplification of the N'' - d!D'' Term

Recall that for N'' and D'' we have:

$$N'' = t^{-2} \sum_{\sigma \in X_{m+1}^2} \prod_{\tau \in \sigma} \omega_2(\tau), \quad D'' = t^{-2} \sum_{\sigma \in X_{m-1}^2} \prod_{\tau \in \sigma} \omega_0(\tau),$$

so in N'' we are considering disjoint (m+1)-collections with exactly two flat steps while in D'' we are considering disjoint (m-1)-collections. In order



Figure 5: A disjoint 3-collection containing two flat steps (one off-centered) and an asymmetric M-path with ω_2 weightings.

to not have to consider both, we employ the same trick originally used in [Wil17] to show N = d!D (which we will call μ simplification). The idea is to view disjoint (m-1)-collections in X_{m-1} as being embedded in X_{m+1} and so we only need to work in X_{m+1}^2 . Let $\sigma \in X_{m-1}$, then we get a corresponding $\mu(\sigma) \in X_{m+1}$ by shifting all paths up two units, adding ascents from (-i,i) to (-i+1,i+1) and descents from (i-1,i+1) to (i,i) for $1 \le i \le m$, and finally adding a V-path at height m + 1. Then $\mu(\sigma)$ has the same number of flat steps as σ and

$$\prod_{\tau \in \mu(\sigma)} \omega_2(\tau) = d! \prod_{\tau \in \sigma} \omega_0(\tau).$$
(14)

Let $\mu(X_{m-1}^2) \subseteq X_{m+1}^2$ denote the disjoint (m+1)-collections that are these embeddings of all the disjoint (m-1)-collections in X_{m-1}^2 , then by (14), we have

1

$$N'' - d!D'' = t^{-2} \sum_{\sigma \in X^2_{m+1}} \prod_{\tau \in \sigma} \omega_2(\tau) - d!t^{-2} \sum_{\sigma \in X^2_{m-1}} \prod_{\tau \in \sigma} \omega_0(\tau)$$
$$= t^{-2} \sum_{\sigma \in X^2_{m+1} \setminus \mu(X^2_{m-1})} \prod_{\tau \in \sigma} \omega_2(\tau).$$

So our next step is to describe all disjoint (m + 1)-collections with two flat steps that are not embeddings of disjoint (m - 1)-collections with two flat steps. We have four disjoint cases:

1. The first flat step at height $p_1 = 1$ and the second flat step is at height p_2 where $2 \le p_2 \le m + 1$.

- 2. The first flat step is at height $p_1 \ge 2$. The second flat step is at height $p_2 = m$ and we either have a M-path or an asymmetric M-path above p_2 .
- 3. The first flat step is at height $p_1 \ge 2$. The second flat step is at height $p_2 = m + 1$.
- 4. The two flat steps are at heights between 2 and m-1 but with no V-paths above height p_2 .

Abusing notation slightly, let σ be the total weighting of the disjoint collections that are in any of the four cases above and moreover have two centered flat steps. Let L be the corresponding weighting for disjoint collections where the second flat step is offset to the left and let R be the corresponding weighting where the second flat step is offset to the right. By the symmetry reasoning above, we have that L = R, so we have that

$$N'' - d!D'' = \sigma + L + R = \sigma + 2L \tag{15}$$

We explicitly give expressions for σ and L below:

$$\sigma = \sum_{\substack{2 \le p_2 \le m+1\\ 0 \le q_1 \le p_2 - 2\\ 0 \le q_2 \le m+1 - p_2}} \omega_2(\sigma_{1,p_2,q_1,q_2}^{m+1}) + \sum_{\substack{2 \le p_1 \le m-1\\ 0 \le q_1 \le m-1 - p_1}} \omega_2(\sigma_{p_1,m+1,q_1,0}^{m+1}) + \sum_{\substack{2 \le p_1 \le m\\ 0 \le q_1 \le m-p_1}} \omega_2(\sigma_{p_1,m+1,q_1,0}^{m+1}) + \sum_{\substack{2 \le p_1 \le m\\ p_1 + 1 \le p_2 \le m-1\\ 0 \le q_1 \le p_2 - p_1 - 1}} \omega_2(\sigma_{p_1,p_2,q_1,m+1-p_2}^{m+1})$$
(16)

and

$$L = \sum_{\substack{2 \le p_2 \le m+1\\ 0 \le q_1 \le p_2 - 2\\ 0 \le q_2 \le m+1 - p_2}} \omega_2(L_{1,p_2,q_1,q_2}^{m+1}) + \sum_{\substack{2 \le p_1 \le m-1\\ 0 \le q_1 \le m-1 - p_1}} \omega_2(L_{p_1,m+1,q_1,0}^{m+1}) + \sum_{\substack{2 \le p_1 \le m\\ 0 \le q_1 \le m-p_1}} \omega_2(L_{p_1,m+1,q_1,0}^{m+1}) + \sum_{\substack{2 \le p_1 \le m\\ p_1+1 \le p_2 \le m-1\\ 0 \le q_1 \le p_2 - p_1 - 1}} \omega_2(L_{p_1,p_2,q_1,m+1-p_2}^{m+1}).$$
(17)

6.2 Proof that $\sigma = L$

It might seem overwhelming to have to calculate both σ and L, however, the following lemma allows us to bypass one of these calculations.

Lemma 6.1. Let σ and L be given respectively as in (16) and (17) above. Then $\sigma = L$.

Proof. We prove the lemma by showing that there is a bijection of sets $f: \sigma \to L$ that preserves the product of the weights in each disjoint (m+1)collection. Let δ be a disjoint (m+1)-collection in σ . In general, δ will have its first flat step at p_1 and second flat step at p_2 . In between the two flat steps there will be q_1 M-paths followed by $p_2 - p_1 - q_1$ number of V-paths. We'll call the height at which this first V-path appears to be v. Then we define $f(\delta)$ to be a disjoint (m+1)-collection in L where we take δ and replace the V-path at v with a off-centred flat step path and all the paths from v + 1 up to p_2 are replaced with asymmetric M-paths. If δ had no V-paths in between the first two flat steps, then just replace the second flat step with an off-centred flat step. Clearly $f(\delta)$ is a unique disjoint (m+1)-collection in L and this defines a well-defined function of sets from σ to L. We can see that f preserves the product of the weights on δ : each V-path starting at a height of say, h had product of weights $\frac{(2h-1)!}{(h-1)!}$ and this path was replaced by an asymmetric M-path with product of weights $\frac{(2h-2)!}{(h-1)!}$ but on the asymmetric M-path of height h + 1 we have an extra factor of (2(h+1)-3) = (2h+2-3) = (2h-1) so in total we also have product of weights $\frac{(2h-1)!}{(h-1)!}$. Note that this also applies to the flat step we introduced at height v: it had product of weights $\frac{(2v-2)!}{(v-1)!}$ but the asymmetric M-path just above it gives an extra factor of (2v-1) which is already accounted for. Finally the flat step at p_2 in δ had product of weights $\frac{(2p_2-2)!}{(p_2-1)}$ which is the same as the product of the weights in the asymmetric M-path we introduced at p_2 . So we see that f preserves weighting. An example of two disjoint collections with the same product of weights that are identified by f is given in Figure 6 below.

Now we define a function $g: L \to \sigma$. Let δ instead be a disjoint (m + 1)collection in L. The collection δ has a second off-centred flat step at height p_2 with q_1 number of asymmetric M-paths above it. Then we define $g(\delta)$ to be the disjoint (m+1)-collection where we replace the second flat step at p_2 and all the asymmetric M-paths above it with V-paths except for the last one, which we turn into a centred flat step (ie. at height $p_2 + q_1$. The paths above p_2+q_1 will be either V-paths or (symmetric) M-paths and so this gives us a disjoint (m + 1)-collection in σ . Clearly, g, f are inverse to each other, giving us a bijection $\sigma \to L$. Since f preserves products of weights, this also gives us that $\sigma = L$ as values.



Figure 6: Two corresponding disjoint 4-collections under the bijection f. The total product of weights if 43200 for both collections.

6.3 Simplifying the σ Term

Since we understand V-paths, we can immediately write down an explicit expression for N:

$$N = \prod_{\tau \in \sigma_{\text{roof}}^{m+1}} \omega_2(\tau) = \prod_{k=1}^{m+1} \frac{(2k-1)!}{(k-1)!}$$

So by the equality of σ and L and (15), we have

$$\frac{N''-d!D''}{N} = \frac{\sigma+2L}{N} = \frac{3\sigma}{N}.$$

Earlier we had split σ into four smaller sums based on which case they fell into (equation (16)). Consider a disjoint (m + 1)-collection in the first case, that is, we fix $p_1 = 1$, $2 \le p_2 \le m + 1$, $0 \le q_1 \le p_2 - 2$, $0 \le q_2 \le m + 1 - p_2$.

Then each summand in this first sum is given by

$$\frac{\sigma'}{N} = \frac{\left(\prod_{k=2}^{q_1+2} (2k-2)!(2k-2)\right) \left(\prod_{k=q_1+2}^{p_2-1} (2k-1)!\right) (2p_2-2)!}{\left(\prod_{k=0}^{m+1} (2k-1)!\right)} \times \left(\frac{\left(\prod_{k=2}^{p_2+q_2} (2k-2)!(2k-2)\right) \left(\prod_{k=p_2+q_2+1}^{m+1} (2k-1)!\right)}{\left(\prod_{k=0}^{m+1} (2k-1)!\right)}\right)}{\left(\prod_{k=0}^{m+1} (2k-1)!\right)}$$
$$= \frac{\left(\prod_{k=2}^{q_1+2} (2k-2)!(2k-2)\right) (2p_2-2)! \left(\prod_{k=p_2+1}^{p_2+q_2} (2k-2)!(2k-2)\right)}{\left(\prod_{k=2}^{q_1+2} (2k-1)!\right) \left(\prod_{k=p_2}^{p_2+q_2} (2k-1)!\right)}\right)}$$
$$= \frac{1}{2p_2-1} \left(\prod_{k=2}^{q_1+2} \frac{(2k-2)}{(2k-1)}\right) \left(\prod_{k=p_2+1}^{p_2+q_2} \frac{(2k-2)}{(2k-1)}\right).$$

So for the first case, we have

$$\frac{\sigma_1}{N} = \sum_{\substack{2 \le p_2 \le m+1\\ 0 \le q_1 \le p_2 - 2\\ 0 \le q_2 \le m+1 - p_2}} \frac{1}{2p_2 - 1} \left(\prod_{k=2}^{q_1+2} \frac{(2k-2)}{(2k-1)} \right) \left(\prod_{k=p_2+1}^{p_2+q_2} \frac{(2k-2)}{(2k-1)} \right).$$
(18)

We similarly find expressions for the other three smaller sums.

Consider a disjoint (m + 1)-collection in the second case, that is, we fix $2 \le p_1 \le m - 1, p_2 = m, 0 \le q_1 \le m - 1 - p_1, q_2 = 1$. Then each summand is given by

$$\frac{\sigma'}{N} = \frac{1}{2p_1 - 1} \left(\prod_{k=p_1+1}^{p_1+q_1} \frac{(2k-2)}{(2k-1)} \right) \left(\frac{2m}{(2m-1)(2m+1)} \right)$$

and for the second case, we have

$$\frac{\sigma_2}{N} = \sum_{\substack{2 \le p_1 \le m-1\\0 \le q_1 \le m-p_1-1}} \frac{1}{2p_1 - 1} \left(\prod_{k=p_1+1}^{p_1+q_1} \frac{(2k-2)}{(2k-1)} \right) \left(\frac{2m}{(2m-1)(2m+1)} \right).$$
(19)

Consider a disjoint (m + 1)-collection in the third case. Then each summand is given by

$$\frac{\sigma'}{N} = \frac{1}{2p_1 - 1} \left(\prod_{k=p_1+1}^{p_1+q_1} \frac{(2k-2)}{(2k-1)} \right) \left(\frac{1}{2m+1} \right)$$

and for the third case, we have

$$\frac{\sigma_3}{N} = \sum_{\substack{2 \le p_1 \le m \\ 0 \le q_1 \le m - p_1}} \frac{1}{2p_1 - 1} \left(\prod_{k=p_1+1}^{p_1+q_1} \frac{(2k-2)}{(2k-1)} \right) \left(\frac{1}{2m+1} \right).$$
(20)

Consider a disjoint (m + 1)-collection in the fourth case. Then each summand is given by

$$\frac{\sigma'}{N} = \frac{1}{2p_1 - 1} \left(\prod_{k=p_1+1}^{p_1+q_1} \frac{(2k-2)}{(2k-1)} \right) \frac{1}{2p_2 - 1} \left(\prod_{k=p_2+1}^{m+1} \frac{(2k-2)}{(2k-1)} \right)$$

and for the fourth case, we have

$$\frac{\sigma_4}{N} = \sum_{\substack{2 \le p_1 \le m \\ p_1 + 1 \le p_2 \le m - 1 \\ 0 \le q_1 \le p_2 - p_1 - 1}} \frac{1}{2p_1 - 1} \left(\prod_{k=p_1+1}^{p_1+q_1} \frac{(2k-2)}{(2k-1)} \right) \frac{1}{2p_2 - 1} \left(\prod_{k=p_2+1}^{m+1} \frac{(2k-2)}{(2k-1)} \right).$$
(21)

6.4 Putting It All Together

So combining (13), (18), (19), (20) and (21) together, we give an explicit expression for

$$\frac{d^2}{dt^2} \operatorname{Mag}\left(tB_2^d\right).$$

Proposition 6.2. Let d be odd and B_2^d be the closed d-dimensional Eu-

clidean ball. Then

$$\begin{aligned} \frac{d^2}{dt^2} \operatorname{Mag}(tB_2^d) \Big|_{t=0} &= \\ 6 \sum_{\substack{2 \le p_2 \le m+1 \\ 0 \le q_1 \le p_2 - 2 \\ 0 \le q_2 \le m+1-p_2}} \frac{1}{2p_2 - 1} \left(\prod_{k=2}^{q_1+2} \frac{(2k-2)}{(2k-1)} \right) \left(\prod_{k=p_2+1}^{p_2+q_2} \frac{(2k-2)}{(2k-1)} \right) + \\ 6 \sum_{\substack{2 \le p_1 \le m-1 \\ 0 \le q_1 \le m-p_1 - 1}} \frac{1}{2p_1 - 1} \left(\prod_{k=p_1+1}^{p_1+q_1} \frac{(2k-2)}{(2k-1)} \right) \left(\frac{2m}{(2m-1)(2m+1)} \right) + \\ 6 \sum_{\substack{2 \le p_1 \le m \\ 0 \le q_1 \le m-p_1}} \frac{1}{2p_1 - 1} \left(\prod_{k=p_1+1}^{p_1+q_1} \frac{(2k-2)}{(2k-1)} \right) \left(\frac{1}{2m+1} \right) + \\ 6 \sum_{\substack{2 \le p_1 \le m \\ p_1 + 1 \le p_2 \le m-1 \\ 0 \le q_1 \le p_2 - p_1 - 1}} \frac{1}{2p_1 - 1} \left(\prod_{k=p_1+1}^{p_1+q_1} \frac{(2k-2)}{(2k-1)} \right) \frac{1}{2p_2 - 1} \left(\prod_{k=p_2+1}^{m+1} \frac{(2k-2)}{(2k-1)} \right) - \\ V_1 \sum_{\substack{1 \le p \le m-1 \\ 0 \le q \le m-1-p}} \frac{1}{2p_1 + 1} \prod_{k=p+1}^{p+q} \left(\frac{2k}{2k+1} \right). \end{aligned}$$

Coding these explicit expressions in Matlab, we are able to plot these second derivative terms against the dimension of the ball (see Figure 7).

The first few points do indeed align with those directly computed in (4) in chapter 5 above. In fact, the plot suggests the second order terms depend linearly on the dimension, though work to confirm or disprove this is still ongoing. The code used to produce Figure 7 can be found at the public github repository https://github.com/ssyl55/mastersthesis-src.

6.5 Skip factorials

This section describes ongoing work to further simplify the expression arrived at in Proposition 6.2. The products

$$\prod_{k=a}^{b} \frac{(2k-2)}{(2k-1)},\tag{23}$$

$$\prod_{k=a}^{b} \frac{2k}{2k+1} \tag{24}$$



Figure 7: Second order terms computed from (22) in Proposition 6.2 above for odd dimensions from 3 to 41 alongside the values as predicted by the erstwhile convex magnitude conjecture (6).

that appear in the sums in (22) above are ratios of skip or double factorials. In the following, we will use the identities about skip factorials given below [Weib]:

$$(2n)!! = 2^{n}n!,$$

$$(2n-1)!! = \frac{(2n)!}{2^{n}n!},$$

$$(2n+1)!! = \frac{(2n+1)!}{2^{n}n!}$$

We will also use Catalan numbers [Weia], which are defined by

$$C_n = \frac{1}{n+1} \binom{(2n)}{n} = \frac{1}{2n+1} \binom{(2n+1)}{n}.$$

Using these identities, we rewrite the product (23):

$$\prod_{k=a}^{b} \frac{(2k-2)}{(2k-1)} = \frac{2(a-1)}{2a-1} \cdots \frac{2(b-1)}{2b-1} = \frac{(2(b-1))!!}{(2(a-2))!!} \left[\frac{(2b-1)!!}{(2(a-1)-1)!!} \right]^{-1} \\
= \frac{2^{b-1}(b-1)!}{2^{a-2}(a-2)!} \left[\frac{(2b)!}{2^{b}b!} \frac{2^{a-1}(a-1)!}{(2(a-1))!} \right]^{-1} \\
= \frac{2^{2b-1}}{2^{2(a-1)-1}} \frac{b!(b-1)!}{(2b)!} \frac{(2(a-1))!}{(a-1)!(a-2)!} = \frac{2^{2b-1}}{2^{2(a-1)-1}} \frac{(a-1)\binom{2(a-1)}{a-1}}{b\binom{2b}{b}} \\
= \frac{2^{2b-1}}{2^{2(a-1)-1}} \frac{(a-1)aC_{a-1}}{b(b+1)C_b}.$$
(25)

And similarly we rewrite (24):

$$\prod_{k=a}^{b} \frac{2k}{2k+1} = \frac{2a}{2a+1} \cdots \frac{2b}{2b+1} = \frac{(2b)!!}{(2(a-1))!!} \left[\frac{(2b+1)!!}{(2a-1)!!} \right]^{-1}$$
$$= \frac{2^{b}b!}{2^{a-1}(a-1)!} \left[\frac{(2b+1)!}{2^{b}b!} \frac{2^{a}a!}{(2a)!} \right]^{-1} = \frac{2^{2b}}{2^{2a-1}} \frac{(b!)^{2}}{(2b+1)!} \frac{(2a)!}{a!(a-1)!} \quad (26)$$
$$= \frac{2^{2b}}{2^{2a-1}} \frac{a\binom{2a}{a}}{(b+1)\binom{2b+1}{b}} = \frac{2^{2b}}{2^{2a-1}} \frac{a(a+1)C_{a}}{(2b+1)(b+1)C_{b}}.$$

Using (26), we can rewrite the last sum in (22) as the following:

$$V_{1} \sum_{\substack{1 \le p \le m-1 \\ 0 \le q \le m-1-p}} \frac{1}{2p+1} \prod_{k=p+1}^{p+q} \left(\frac{2k}{2k+1}\right)$$
$$= V_{1} \sum_{\substack{1 \le p \le m-1 \\ 0 \le q \le m-1-p}} \frac{1}{2p+1} \frac{2^{2(p+q)}}{2^{2(p+1)-1}} \frac{(p+1)(p+2)C_{p+1}}{(2(p+q)+1)(p+q+1)C_{p+q}}.$$

Setting k = p + q, this last sum turns into

$$V_{1} \sum_{k=1}^{m-1} \sum_{p=1}^{k} \frac{1}{2p+1} \frac{2^{2k}}{2^{2(p+1)-1}} \frac{(p+1)(p+2)C_{p+1}}{(2k+1)(k+1)C_{k}}$$
$$= V_{1} \sum_{k=1}^{m-1} \frac{2^{2k}}{(2k+1)(k+1)C_{k}} \sum_{p=1}^{k} \frac{(p+1)(p+2)C_{p+1}}{2^{2p+1}(2p+1)}.$$

The inner sum on the right hand side of the above can be further simplified 1 + (2(n+1))

$$\sum_{p=1}^{k} \frac{(p+1)(p+2)C_{p+1}}{2^{2p+1}(2p+1)} = \sum_{p=1}^{k} \frac{(p+1)(p+2)\frac{1}{p+2}\binom{2(p+1)}{p+1}}{2^{2p+1}(2p+1)}$$
$$= \sum_{p=1}^{k} \frac{(p+1)\frac{(2(p+1))!}{[(p+1)!]^2}}{2^{2p+1}(2p+1)}$$
$$= \sum_{p=1}^{k} \frac{(2(p+1))!}{p!(p+1)!2^{2p+1}(2p+1)}.$$
(27)

Wolfram Alpha says that the last sum of (27) can be simplified to

$$\sum_{p=1}^{k} \frac{(2(p+1))!}{p!(p+1)!2^{2p+1}(2p+1)} = \frac{(k+1)(2(k+2))!}{2^{2(k+1)}(2k+3)(k+1)!(k+2)!} - 1.$$
(28)

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